

a. Securities

Annexure VI A									
Category-wise List of Defaults during October 2020 - March 2021 - Long Term Scale									
Sl. No.	Name of the Issuer	Sector	Instrument Type	Issue Size (Rs. million)	Listing Status	Rating prior to Default	Trigger Event	Date of trigger event / receiving intimation	Date of Default Recognition
Rating Prior to default - AAA Category									
Rating Prior to default - AA Category									
Rating Prior to default - A Category									
Rating Prior to default - BBB Category									
1	Feedback Infra Private Limited	Administrative and support service activities	Non Convertible Debentures	300.00	Listed	CARE BBB- (Under Credit watch with Negative Implications)	Intimation of default	03-11-2020	06-11-2020
Rating Prior to default - BB Category									
1	Palava Lifestyle Fashion Limited	Retail and small trade	Non Convertible Debentures	3000.00	Listed	CARE BB (Under Credit watch with Negative Implications)	Intimation of default	09-11-2020	11-11-2020
2	Omara Realty Private Limited	Real Estate activities	Non Convertible Debentures	600.00	Listed	CARE BB- Negative: ISSUER NOT COOPERATING*	Intimation of default	24-12-2020	29-12-2020
3	Sea Equipment Finance Limited	NBP	Subordinated Debt	50.00	Listed	CARE BB (Under Credit watch with Negative Implications)	Other - Other received from NCLAT, New Delhi	05-03-2021	06-03-2021
4	Sea Equipment Finance Limited	NBP	Subordinated Debt	208.00	Listed	CARE BB (Under Credit watch with Negative Implications)	Other - Other received from NCLAT, New Delhi	05-03-2021	06-03-2021
5	Sea Equipment Finance Limited	NBP	Subordinated Debt	70.00	Listed	CARE BB (Under Credit watch with Negative Implications)	Other - Other received from NCLAT, New Delhi	05-03-2021	06-03-2021
6	Sea Equipment Finance Limited	NBP	Subordinated Debt	750.00	Listed	CARE BB (Under Credit watch with Negative Implications)	Other - Other received from NCLAT, New Delhi	05-03-2021	06-03-2021
7	Sea Equipment Finance Limited	NBP	Subordinated Debt	200.00	Listed	CARE BB- (Under Credit watch with Negative Implications)	Other - Other received from NCLAT, New Delhi	05-03-2021	06-03-2021
8	Sea Equipment Finance Limited	NBP	Non Convertible Debentures	321.50	Listed	CARE BB- (Under Credit watch with Negative Implications)	Other - Other received from NCLAT, New Delhi	05-03-2021	06-03-2021
9	Sea Equipment Finance Limited	NBP	Non Convertible Debentures	3000.00	Listed	CARE BB- (Under Credit watch with Negative Implications)	Other - Other received from NCLAT, New Delhi	05-03-2021	06-03-2021
10	Sea Equipment Finance Limited	NBP	Non Convertible Debentures	7.00	Listed	CARE BB- (Under Credit watch with Negative Implications)	Other - Other received from NCLAT, New Delhi	05-03-2021	06-03-2021
11	Sea Equipment Finance Limited	NBP	Preferred Debt	375.00	Listed	CARE BB- (Under Credit watch with Negative Implications)	Other - Other received from NCLAT, New Delhi	05-03-2021	06-03-2021
12	Sea Infrastructure Finance Limited	Infrastructure Finance Companies (IFCs)	Subordinated Debt	2391.50	Listed	CARE BB (Under Credit watch with Negative Implications)	Other - Other received from NCLAT, New Delhi	05-03-2021	06-03-2021
13	Sea Infrastructure Finance Limited	Infrastructure Finance Companies (IFCs)	Non Convertible Debentures/Subordinated Debt	2313.74	Listed	CARE BB- (Under Credit watch with Negative Implications)	Other - Other received from NCLAT, New Delhi	05-03-2021	06-03-2021
14	Sea Infrastructure Finance Limited	Infrastructure Finance Companies (IFCs)	Non Convertible Debentures/Subordinated Debt	1315.00	Listed	CARE BB- (Under Credit watch with Negative Implications)	Other - Other received from NCLAT, New Delhi	05-03-2021	06-03-2021
15	Sea Infrastructure Finance Limited	Infrastructure Finance Companies (IFCs)	Non Convertible Debentures	404.00	Listed	CARE BB- (Under Credit watch with Negative Implications)	Other - Other received from NCLAT, New Delhi	05-03-2021	06-03-2021
16	Sea Infrastructure Finance Limited	Infrastructure Finance Companies (IFCs)	Bonus	200.00	Unlisted	CARE BB- (Under Credit watch with Negative Implications)	Other - Other received from NCLAT, New Delhi	05-03-2021	06-03-2021
17	Sea Infrastructure Finance Limited	Infrastructure Finance Companies (IFCs)	Non Convertible Debentures	65.00	Listed	CARE BB- (Under Credit watch with Negative Implications)	Other - Other received from NCLAT, New Delhi	05-03-2021	06-03-2021
18	Sea Infrastructure Finance Limited	Infrastructure Finance Companies (IFCs)	Non Convertible Debentures	490.00	Listed	CARE BB- (Under Credit watch with Negative Implications)	Other - Other received from NCLAT, New Delhi	05-03-2021	06-03-2021
Rating Prior to default - B Category									
1	Aster Infotrade Private Limited	Real Estate activities	Non Convertible Debentures	500.00	Listed	CARE B- Stable: ISSUER NOT COOPERATING*	Intimation of default	02-01-2021	05-01-2021
2	ATS Nagpur Private Limited	Real Estate activities	Non Convertible Debentures	800.00	Listed	CARE B- Negative: ISSUER NOT COOPERATING*	Intimation of default	01-02-2021	02-02-2021
3	ATS Nagpur Private Limited	Real Estate activities	Non Convertible Debentures	850.00	Listed	CARE B- Negative: ISSUER NOT COOPERATING*	Intimation of default	01-02-2021	02-02-2021
4	MMI Development Private Limited	Real Estate activities	Non Convertible Debentures	500.00	Listed	CARE B- Stable: ISSUER NOT COOPERATING*	Intimation of default	30-09-2020	01-10-2020
Rating Prior to default - C Category									
1	Elara Nevada India Limited	Textiles	Non Convertible Redeemable Preference Shares	20.00	Listed	CARE C- Stable: ISSUER NOT COOPERATING*	Intimation of default	17-12-2020	18-12-2020
2	Frans Empires Limited	Wholesale and retail trade	Non Convertible Debentures	3020.00	Listed	CARE C (Under Credit watch with Developing Implications)	Intimation of default	05-01-2021	07-01-2021
3	Shankard Road Project Implementation Company Limited	Construction	Non Convertible Debentures	12750.14	Listed	CARE C- ISSUER NOT COOPERATING*	Intimation of default	21-10-2020	27-10-2020
4	Omara Projects Private Limited	Real Estate activities	Non Convertible Debentures	1200.00	Listed	CARE C- ISSUER NOT COOPERATING*	Intimation of default	13-10-2020	14-10-2020
5	Valika Infotrade Private Limited	Real Estate activities	Non Convertible Debentures	1200.00	Unlisted	CARE C- Stable: ISSUER NOT COOPERATING*	Intimation of default	12-01-2021	12-01-2021

b. Financial Instruments other than securities

Annexure VI B									
Category-wise List of Defaults during October 2020 - March 2021 - Short Term Scale									
Sl. No.	Name of the Issuer	Sector	Instrument Type	Issue Size (Rs. million)	Listing Status	Rating prior to Default	Trigger Event	Date of trigger event / receiving intimation	Date of Default Recognition
Rating Prior to default - A1 Category									
Rating Prior to default - A2 Category									
Rating Prior to default - A3 Category									
Rating Prior to default - AA Category									

b. Financial Instruments other than securities

Annexure VI A									
Category-wise List of Defaults during October 2020 - March 2021 - Long Term Scale									
Sl. No.	Name of the Issuer	Sector	Instrument Type	Issue Size (Rs. million)	Listing Status	Rating prior to Default	Trigger Event	Date of trigger event / receiving intimation	Date of Default Recognition
Rating Prior to default - AAA Category									
Rating Prior to default - AA Category									
Rating Prior to default - A Category									
Rating Prior to default - BBB Category									
1	Nalanda Hydro Power Company Limited	Electricity - Generation	Term Loan	28474.00	Unlisted	CARE BBB- (Under Credit watch with Negative Implications)	Intimation of default	27-10-2020	29-10-2020
2	Nalanda Hydro Power Company Limited	Electricity - Generation	Cash Credit	906.50	Unlisted	CARE BBB- (Under Credit watch with Negative Implications)	Intimation of default	27-10-2020	29-10-2020
3	Nalanda Hydro Power Company Limited	Water supply; sewerage; waste management etc.	Term Loan	1055.00	Unlisted	CARE BBB- Stable	Intimation of default	03-02-2021	05-02-2021
Rating Prior to default - BB Category									
1	AAA Vehicleless Private Limited	Auto	Working Capital Limits	50.00	Unlisted	CARE BB- Stable	Intimation of default	02-11-2020	04-11-2020
2	AAA Vehicleless Private Limited	Auto	Cash Credit	800.00	Unlisted	CARE BB- Stable	Intimation of default	02-11-2020	04-11-2020
3	Ambar Laboratories Limited	Chemicals and chemical products	Term Loan	38.90	Unlisted	CARE BB- ISSUER NOT COOPERATING*	Intimation of default	02-02-2021	02-02-2021
4	Ambar Laboratories Limited	Chemicals and chemical products	Cash Credit	200.00	Unlisted	CARE BB- ISSUER NOT COOPERATING*	Intimation of default	02-02-2021	02-02-2021
5	Omara Booked LLP	Real Estate activities	Working Capital Limits	100.00	Unlisted	CARE BB- Stable	Intimation of default	14-10-2020	14-10-2020
6	Anguilo Stock And Broking Private Limited	Stock Brokers	Bank Overdraft	200.00	Unlisted	CARE BB- ISSUER NOT COOPERATING*	Intimation of default	01-12-2020	03-12-2020
7	Aryana Solar Power Private Limited	Electricity - Generation	Term Loan	109.80	Unlisted	CARE BB- Stable	Intimation of default	02-03-2021	04-03-2021
8	Aryana Solar Power Private Limited	Electricity - Generation	Cash Credit	50.00	Unlisted	CARE BB- Stable	Intimation of default	02-03-2021	04-03-2021
9	Amelia Asia India Private Limited	Auto	Cash Credit	140.00	Unlisted	CARE BB- Stable: ISSUER NOT COOPERATING*	Intimation of default	25-10-2020	25-10-2020
10	Bharat Carriers Limited	Transportation and storage	Term Loan	28.80	Unlisted	CARE BB- Stable	Rating Action by Domestic / Global Rating Agency	03-11-2020	05-11-2020
11	Bharat Carriers Limited	Transportation and storage	Cash Credit	80.00	Unlisted	CARE BB- Stable	Rating Action by Domestic / Global Rating Agency	03-11-2020	05-11-2020
12	Bharat Carriers Limited	Transportation and storage	Term Loan	21.50	Unlisted	CARE BB- Stable	Rating Action by Domestic / Global Rating Agency	05-11-2020	05-11-2020
13	Dhruv Mahindra Limited	Chemicals and chemical products	Cash Credit	150.00	Listed	CARE BB- Stable: ISSUER NOT COOPERATING*	Intimation of default	28-12-2020	30-12-2020
14	Dhruv Mahindra Limited	Food and food products	Cash Credit	90.00	Unlisted	CARE BB- Stable	Intimation of default	19-02-2021	19-02-2021
15	Dhruv Mahindra Limited	Food and food products	Term Loan	15.80	Unlisted	CARE BB- Stable	Intimation of default	19-02-2021	19-02-2021
16	Elite Sa Palam Nagar Spring Mills Private Limited	Textiles	Term Loan	41.70	Unlisted	CARE BB- Stable: ISSUER NOT COOPERATING*	Intimation of default	11-01-2021	13-01-2021
17	Elite Sa Palam Nagar Spring Mills Private Limited	Textiles	Cash Credit	100.00	Unlisted	CARE BB- Stable: ISSUER NOT COOPERATING*	Intimation of default	11-01-2021	13-01-2021
18	Feedback Energy Distribution Company Limited	Electricity - Transmission and distribution	Term Loan	816.80	Unlisted	CARE BB (Under Credit watch with Developing Implications)	Intimation of default	07-01-2021	11-01-2021
19	Feedback Energy Distribution Company Limited	Electricity - Transmission and distribution	Cash Credit	290.00	Unlisted	CARE BB (Under Credit watch with Developing Implications)	Intimation of default	07-01-2021	11-01-2021
20	Fluon India Limited	Textiles	Cash Credit	320.20	Unlisted	CARE BB- Stable	Annual Surveillance	18-03-2021	18-03-2021
21	Global Ceramics Private Limited	Ceramics	Cash Credit	250.00	Unlisted	CARE BB- Stable	Intimation of default	09-02-2021	11-02-2021
22	Global Ceramics Private Limited	Ceramics	Term Loan	220.00	Unlisted	CARE BB- Stable	Intimation of default	09-02-2021	11-02-2021
23	Green Space Maharashtra Private Limited	Real Estate activities	Term Loan	400.00	Unlisted	CARE BB- Negative	Intimation of default	14-01-2021	15-01-2021

